

ONGOING MONITORING OF TRANSACTIONS

Note: Ongoing monitoring of transactions are NOT allowed to be conducted by a third party

<p>1. Are the CDD data, documents and information that were obtained earlier, ascertained to be adequate before issuing the notice of payment for TOP and for completion of sale?</p>	<p><input type="checkbox"/> Yes</p>	<p><input type="checkbox"/> No Please provide details and complete a new CDD checklist for the purchaser.</p> <hr/> <hr/>
<p>2. Are the transactions by the purchaser consistent with the developer’s knowledge of: a. the purchaser; and b. the purchaser’s income and risk profile; and c. the purchaser’s source(s) of funds.</p>	<p><input type="checkbox"/> Yes</p>	<p><input type="checkbox"/> No Please complete Form E “Screening for ML/TF Risks” again.</p>
<p>3. Risk of money laundering or terrorism financing remains unchanged</p>	<p><input type="checkbox"/> Yes</p>	
<p>Evaluation and Assessment completed by:</p> <p>Name and signature of developer’s representative: _____</p> <p>Designation: _____</p> <p>Date: _____</p>		